Applicant: Brigham Young University Attorney's Docket No.: 07913-010US1 / Galactosides

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 3 of 14

107550165 JC17 Rec'd PCT/PTO 20 SEP 2005

Amendments to the Claims:

This listing of claims replaces all prior versions and listings of claims in the application:

Listing of Claims:

1. (Original) A compound of Formula (I):

$$R_3$$
 R_1
 R_8
 R_7
 R_8
 R_9
 R_6

wherein,

R₁ is:

- (i) hydrogen; or
- (ii) -SO₂R₁₀,

wherein R₁₀ is:

halo; hydroxy; OR₁₁; OR₁₂; amino; NHR₁₁; N(R₁₁)₂; NHR₁₂; N(R₁₂)₂; aralkylamino; or

 C_1 - C_{12} alkyl optionally substituted with halo, hydroxy, oxo, nitro, OR_{11} , OR_{12} , acyloxy, amino, NHR_{11} , $N(R_{11})_2$, NHR_{12} , $N(R_{12})_2$, aralkylamino, mercapto, thioalkoxy, $S(O)R_{11}$, $S(O)R_{12}$, SO_2R_{11} , SO_2R_{12} , $NHSO_2R_{11}$, $NHSO_2R_{12}$, sulfate,

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 4 of 14

phosphate, cyano, carboxyl, $C(O)R_{11}$, $C(O)R_{12}$, $C(O)OR_{11}$, $C(O)NH_2$, $C(O)NHR_{11}$, C(O)N(R₁₁)₂, C₃-C₁₀ cycloalkyl containing 0-3 R₁₃, C₃-C₁₀ heterocyclyl containing 0-3 R₁₃, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₁₀ cycloalkenyl, C₅-C₁₀ heterocycloalkenyl, C_6 - C_{20} aryl containing 0-3 R_{14} , or heteroaryl containing 0-3 R_{14} ; or C₃-C₁₀ cycloalkyl, C₃-C₁₀ heterocyclyl, C₅-C₁₀ cycloalkenyl, or C₅-C₁₀ heterocycloalkenyl optionally substituted with one or more halo, hydroxy, oxo, OR₁₁, OR₁₂, acyloxy, nitro, amino, NHR₁₁, N(R₁₁)₂, NHR₁₂, N(R₁₂)₂, aralkylamino, mercapto, thioalkoxy, S(O)R₁₁, S(O)R₁₂, SO₂R₁₁, SO₂R₁₂, NHSO₂R₁₁, NHSO₂R₁₂, sulfate, phosphate, cyano, carboxyl, C(O)R₁₁, C(O)R₁₂, C(O)OR₁₁, C(O)NH₂, C(O)NHR₁₁, C(O)N(R₁₁)₂, alkyl, haloalkyl, C₃-C₁₀ cycloalkyl containing 0-3 R₁₃, C₃-C₁₀ heterocyclyl containing 0-3 R₁₃, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₁₀ cycloalkenyl, C₅-C₁₀ heterocycloalkenyl, C₆-C₂₀ aryl heteroaryl containing 0-3 R₁₄, or C_6 - C_{20} heteroaryl containing 0-3 R_{14} ; or C₂-C₆ alkenyl, C₂-C₆ alkynyl, aryl, or heteroaryl optionally substituted with one or more halo, hydroxy, OR₁₁, OR₁₂, acyloxy, nitro, amino, NHR₁₁, N(R₁₁)₂, NHR₁₂, N(R₁₂)₂, aralkylamino, mercapto, thioalkoxy, S(O)R₁₁, S(O)R₁₂, SO₂R₁₁, SO₂R₁₂, NHSO₂R₁₁, NHSO₂R₁₂, sulfate, phosphate, cyano, carboxyl, C(O)R₁₁, C(O)R₁₂, $C(O)OR_{11}$, $C(O)NH_2$, $C(O)NHR_{11}$, $C(O)N(R_{11})_2$, alkyl, haloalkyl, C_3 - C_{10} cycloalkyl containing 0-3 R₁₃, C₃-C₁₀ heterocyclyl containing 0-3 R₁₃, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₁₀ cycloalkenyl, C₅-C₁₀ heterocycloalkenyl, C₆-C₂₀ aryl containing 0-3 R_{14} , or C_6 - C_{20} heteroaryl containing 0-3 R_{14} ; or

- (iii) $-C(O)R_{10}$, wherein R_{10} is defined as above; or
- (iv) $-C(R_{10})_2(R_{15})$, wherein R_{10} is defined as above; R_{15} is hydrogen, R_{10} , or R_{15} and R_2 taken together forms a double bond between the carbon and nitrogen atoms to which they are attached; or
- (v) R_1 and R_2 taken together forms a heterocyclyl of 3-10 ring atoms optionally substituted with R_{10} ;

 R_2 is hydrogen, or R_2 and R_{15} taken together forms a double bond between the carbon and nitrogen atoms to which they are attached, or R_2 and R_1 taken together forms a heterocyclyl of 3-10 ring atoms optionally substituted with R_{10} ;

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 5 of 14

R₃, R₄, R₅, R₆, and R₇ are each independently hydrogen, C₁-C₆ alkyl, C₆-C₁₂ aralkyl, or C₁-C₆ acyl;

 R_8 is $-(CH_2)_xCH_3$;

 R_9 is a linear or branched C_3 - C_{100} alkyl;

 R_{11} is C_1 - C_{20} alkyl optionally substituted with halo, hydroxy, alkoxy, amino, alkylamino, dialkylamino, sulfate, or phosphate;

R₁₂ is aryl optionally substituted with halo, haloalkyl, hydroxy, alkoxy, nitro, amino, alkylamino, dialkylamino, sulfate, or phosphate;

Each R_{13} is independently halo, haloalkyl, hydroxy, alkoxy, oxo, amino, alkylamino, dialkylamino, sulfate, or phosphate;

Each R₁₄ is independently halo, haloalkyl, hydroxy, alkoxy, nitro, amino, alkylamino, dialkylamino, sulfate, or phosphate; and

x is 1-100.

- 2. (Original) The compound of claim 1 wherein x is 24 and R_9 is *n*-tetradecyl.
- 3. (Original) The compound of claim 2 wherein R_1 is SO_2R_{10} .
- 4. (Currently Amended) The compound of claim 3 wherein R_{10} is aryl substituted with $N(R_{11})_2[[:]]_2$
- 5. (Currently Amended) The compound of claim 4 wherein R_{10} is:

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 6 of 14

- 6. (Original) The compound of claim 2 wherein R_1 is $C(O)R_{10}$.
- 7. (Currently Amended) The compound of claim 6 wherein R_{10} is C_1 - C_6 alkyl substituted with halo, hydroxy, oxo, nitro, OR_{11} , OR_{12} , acyloxy, amino, NHR_{11} , $N(R_{11})_2$, NHR_{12} , $N(R_{12})_2$, aralkylamino, mercapto, thioalkoxy, $S(O)R_{11}$, $S(O)R_{12}$, SO_2R_{11} , SO_2R_{12} , SO_2R_{12
- 8. (Original) The compound of claim 7 wherein R_{10} is C_1 - C_6 alkyl substituted with NHSO₂ R_{12} .

Attorney's Docket No.: 07913-010US1 / Galactosides

Applicant: Brigham Young University - PCT - US nat Serial No.: Not Yet Assigned : September 20, 2005

Filed Page : 7 of 14

(Currently Amended) The compound of claim 8 wherein R_{12} is: 9.

- (Original) The compound of claim 7, wherein R_{10} is alkyl substituted with $C(O)R_{12}$. 10.
- (Currently Amended) The compound of claim 10 wherein R_{12} is: 11.

12. (Original) The compound of claim 7 wherein R₁₀ is alkyl is substituted with C₅-C₁₀ heterocyclyl containing 0-3 R₁₃.

Attorney's Docket No.: 07913-010US1 / Galactosides
- PCT - US nat

Serial No.: Not Yet Assigned Filed: September 20, 2005

Applicant: Brigham Young University

Page : 8 of 14

13. (Currently Amended) The compound of claim 12 wherein the heterocyclyl is:

14. (Original) A probe for observing glycolipid association with CD1d and NKT cell receptors during NKT cell stimulation comprising a compound of Formula (II):

wherein:

X is $-SO_2$ -,-C(O)-, or absent;

Y is a linker group; and

Z is a reporter group.

Applicant: Brigham Young University Attorney's Docket No.: 07913-010US1 / Galactosides - PCT - US nat

Serial No.: Not Yet Assigned : September 20, 2005 Filed

Page : 9 of 14

15. (Original) A method of quantifying glycolipid association with CD1d and NKT cell receptors during NKT cell stimulation comprising: (i) contacting a compound of Formula (II) with a CD1d protein; (ii) allowing the compound to associate with the CD1d protein; (iii) measuring fluorescence emitted by the compound during steps (i) and (ii) to provide one or more pre-NKT cell contact fluorescence measurements; (iv) contacting the compound and CD1d protein with an NKT cell line; (v) measuring fluorescence emitted by the compound during step (iv) to provide one or more NKT cell contact fluorescence measurements.

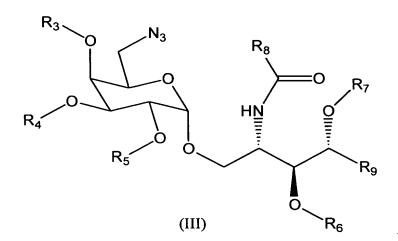
- 16. (Original) The method of claim 15 wherein step (v) is repeated over time.
- 17. (Original) The method of claim 15 further comprising the step of comparing the fluorescence measurements in steps (iii) and (v).
- 18. (Original) A method of stimulating NKT cells comprising contacting an NKT cell with a compound of Formula (I) and a CD1 protein.
- 19. (Original) The method of claim 18 wherein the protein is CD1d.
- 20. (Original) A method of stimulating the immune system of a subject in need of such stimulation, the method comprising administering a compound of Formula (I) to the subject.
- (Original) A method of treating an autoimmune disease in a subject in need of such 21. treatment, the method comprising administering an effective amount of a compound of Formula (I).
- 22. (Currently Amended) The method of claim 20 or 21 wherein the subject is a mammal.
- 23. (Original) The method of claim 22 wherein the subject is a human.
- 24. (Original) A method of making a compound of Formula (I) comprising: (i) converting a compound of Formula (III) to a compound of Formula (IV):

Applicant: Brigham Young University
Serial No.: Not Yet Assigned

Attorney's Docket No.: 07913-010US1 / Galactosides
- PCT - US nat

Filed: September 20, 2005

Page : 10 of 14



$$R_3$$
 R_4
 R_5
 R_8
 R_7
 R_8
 R_7
 R_9
 R_8
 R_9

and (ii) contacting a compound of Formula (IV) with R₁-LG to afford a compound of Formula (I), wherein:

R_i is:

(i) $-SO_2R_{10}$,

wherein R₁₀ is:

halo; hydroxy; OR_{11} ; OR_{12} ; amino; NHR_{11} ; $N(R_{11})_2$; NHR_{12} ; $N(R_{12})_2$; aralkylamino; or

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 11 of 14

 C_1 - C_{12} alkyl optionally substituted with halo, hydroxy, oxo, nitro, OR_{11} , OR_{12} , acyloxy, amino, NHR₁₁, N(R₁₁)₂, NHR₁₂, N(R₁₂)₂, aralkylamino, mercapto, thioalkoxy, S(O)R₁₁, S(O)R₁₂, SO₂R₁₁, SO₂R₁₂, NHSO₂R₁₁, NHSO₂R₁₂, sulfate, phosphate, cyano, carboxyl, $C(O)R_{11}$, $C(O)R_{12}$, $C(O)OR_{11}$, $C(O)NH_2$, $C(O)NHR_{11}$, C(O)N(R₁₁)₂, C₃-C₁₀ cycloalkyl containing 0-3 R₁₃, C₃-C₁₀ heterocyclyl containing 0-3 R₁₃, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₁₀ cycloalkenyl, C₅-C₁₀ heterocycloalkenyl, C₆-C₂₀ aryl containing 0-3 R₁₄, or C₆-C₂₀ heteroaryl containing 0-3 R₁₄; or C₃-C₁₀ cycloalkyl, C₃-C₁₀ heterocyclyl, C₅-C₁₀ cycloalkenyl, or C₅-C₁₀ heterocycloalkenyl optionally substituted with one or more halo, hydroxy, oxo, OR11, OR₁₂, acyloxy, nitro, amino, NHR₁₁, N(R₁₁)₂, NHR₁₂, N(R₁₂)₂, aralkylamino, mercapto, thioalkoxy, $S(O)R_{11}$, $S(O)R_{12}$, SO_2R_{11} , SO_2R_{12} , $NHSO_2R_{11}$, $NHSO_2R_{12}$, sulfate, phosphate, cyano, carboxyl, $C(O)R_{11}$, $C(O)R_{12}$, $C(O)OR_{11}$, $C(O)NH_2$, C(O)NHR₁₁, C(O)N(R₁₁)₂, alkyl, haloalkyl, C₃-C₁₀ cycloalkyl containing 0-3 R₁₃, C₃-C₁₀ heterocyclyl containing 0-3 R₁₃, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₁₀ cycloalkenyl, C₅-C₁₀ heterocycloalkenyl, C₆-C₂₀ aryl containing 0-3 R₁₄, or C₆-C₂₀ heteroaryl containing 0-3 R₁₄; or C₂-C₆ alkenyl, C₂-C₆ alkynyl, aryl, or heteroaryl optionally substituted with one or more halo, hydroxy, OR₁₁, OR₁₂, acyloxy, nitro, amino, NHR₁₁, N(R₁₁)₂, NHR₁₂, $N(R_{12})_2$, aralkylamino, mercapto, thioalkoxy, $S(O)R_{11}$, $S(O)R_{12}$, SO_2R_{11} , SO_2R_{12} , NHSO₂ R_{11} , NHSO₂ R_{12} , sulfate, phosphate, cyano, carboxyl, C(O) R_{11} , C(O) R_{12} , $C(O)OR_{11}$, $C(O)NH_2$, $C(O)NHR_{11}$, $C(O)N(R_{11})_2$, alkyl, haloalkyl, C_3 - C_{10} cycloalkyl containing 0-3 R₁₃, C₃-C₁₀ heterocyclyl containing 0-3 R₁₃, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₁₀ cycloalkenyl, C₅-C₁₀ heterocycloalkenyl, C₆-C₂₀ aryl containing 0-3 R_{14} , or C_6 - C_{20} heteroaryl containing 0-3 R_{14} ; or

(ii) $-C(O)R_{10}$, wherein R_{10} is defined as above; or

(iii) $-C(R_{10})_2(R_{15})$, wherein R_{10} is defined as above; R_{15} is hydrogen, R_{10} , or R_{15} and R_2 taken together forms a double bond between the carbon and nitrogen atoms to which they are attached; or

 R_3 , R_4 , R_5 , R_6 , and R_7 are each independently hydrogen, C_1 - C_6 alkyl, C_6 - C_{12} aralkyl, or C_1 - C_6 acyl;

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 12 of 14

 R_8 is $-(CH_2)_xCH_3$;

R₉ is a linear or branched C₃-C₁₀₀ alkyl;

 R_{11} is C_1 - C_{20} alkyl optionally substituted with halo, hydroxy, alkoxy, amino, alkylamino, dialkylamino, sulfate, or phosphate;

 R_{12} is aryl optionally substituted with halo, haloalkyl, hydroxy, alkoxy, nitro, amino, alkylamino, dialkylamino, sulfate, or phosphate;

Each R₁₃ is independently halo, haloalkyl, hydroxy, alkoxy, oxo, amino, alkylamino, dialkylamino, sulfate, or phosphate;

Each R₁₄ is independently halo, haloalkyl, hydroxy, alkoxy, nitro, amino, alkylamino, dialkylamino, sulfate, or phosphate;

x is 1-100;

LG is halo, -OSO₂R₁₆, B(OH)₂, or

Serial No.: Not Yet Assigned Filed: September 20, 2005

Page : 13 of 14

; and

 R_{16} is alkyl, haloalkyl or aryl optionally substituted with alkyl, halo or nitro.

25. (Currently Amended) A pharmaceutical emposition composition comprising a compound of Formula (I) and a pharmaceutically acceptable carrier.